

HIPAA COW Document Resources Available on <https://hipaacow.org/>

| Document Name | Forms or other Info Included within this Document | Networking Group | Category | Page on website where document is located | Last Revision Date | Security Risk Example Policy Name (from Toolkit) |
|---|--|--------------------|--|---|--------------------|--|
| Wisconsin Consumer HIPAA Guide | Link to: A WI Consumer's Guide to Health Information Privacy Brochure | Consumer Relations | Consumer Info | Resources>Documents>Privacy & Security | None listed | |
| Accounting of Disclosures | Form: Request for an Accounting of Disclosures Form: Disclosures Tracking Log | Privacy | Accounting of Disclosures | Resources>Documents>Privacy & Security | 8/28/2013 | Note: 1/15/2020 Privacy Co-Chairs reviewed. Decision: Accounting of Disclosures has not had any changes. |
| Amendment Form | Form: Request for Amendment/Correction of Protected health Information | Privacy | Amendment Requests | Resources>Documents>Privacy & Security | 2/1/2013 | |
| Amendment Policy | ~ | Privacy | Amendment Requests | Resources>Documents>Privacy & Security | 4/1/2013 | |
| Analysis of 2016 HIPAA Privacy Rule Modifications to Strengthen the Firearm Background Check System | Frequently Asked Questions for Healthcare Providers | Privacy | Law Enforcement | Resources>Documents>Privacy & Security | 2/8/2016 | |
| Audio, Video and Photo Consent | Form: Patient Consent for Photo or Video | Privacy | ROI - Authorization Form Audio-Video-Photo | Resources>Documents>Privacy & Security | 10/1/2017 | |
| Authorization Elements Grid | ~ | Privacy | ROI - Authorization Form Requirements | Resources>Documents>Privacy & Security | 4/4/2018 | |
| BA Agreement Omnibus Rule | ~ | Privacy | Business Associate Agreement | Resources>Documents>Privacy & Security | 8/28/2013 | Note: 1/15/2020 Privacy Co-Chairs reviewed: Decision: BAA has not had any changes, older docs exist that need to be reviewed and updated as necessary. |
| BA Training PPT | ~ | Privacy | Business Associate Agreement | Resources>Documents>Privacy & Security | None listed | |
| Cameras by Law Enforcement Officials | | Privacy | Law Enforcement | Resources>Documents>Privacy & Security | 5/26/2016 | |
| Charging for Copies and Summaries | ~ | Privacy | ROI - Fees | Resources>Documents>Privacy & Security | 10/1/2020 | |

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| Communication of PHI Policy | Sample Message for Answering Machines, Form: Sample Fax Cover Sheet, Guidelines for Emailing PHI, Form: Sample Patient Email Acceptance | Privacy | ROI - Methods & Safeguarding | Resources>Documents>Privacy & Security | 6/30/2016 | |
| Consent for Disclosure of Patient PHI for Treatment, Payment and Healthcare Operations (TPO) | ~ | Privacy | ROI - Consent | Resources>Documents>Privacy & Security | 10/9/2018 | |
| Covid-19: Wisconsin and HIPAA Disclosures for Treatment, Payment, Healthcare Operations and Imminent Threat for Law Enforcement, First Responders | Guidance: Office for Civil Rights (OCR) COVID-19 and HIPAA: Disclosures to Law Enforcement, Paramedics, Other First Responders and Public Health Authorities Bulletin: Department of Health and Human Services COVID-19 & HIPAA Bulletin Limited Waiver of HIPAA Sanctions and Penalties During a Nationwide Public Health Emergency | Privacy | Covid-19 | Resources>Documents>Privacy & Security | 4/17/2020 | |
| De-ID vs. LDS | ~ | Privacy | De-identification & Limited Data Set | Resources>Documents>Privacy & Security | 7/18/2018 | |
| Designated Record Sets | Sample Inventory List for Delineating Status of Designated Record Sets | Privacy | Designated Record Set | Resources>Documents>Privacy & Security | 8/26/2020 | |
| Disclosing PHI for Treatment Purposes - Position Statement | ~ | Privacy | ROI - Treatment | Resources>Documents>Privacy & Security | 9/3/2019 | |
| Disclosure of PHI to the Media | ~ | Privacy | ROI - Media | Resources>Documents>Privacy & Security | 4/1/2018 | |

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| Drug Seeking Behavior Whitepaper | ~ | Privacy | ROI - Drug Seeking | Resources>Documents>Privacy & Security | 9/10/2019 | |
| Fundraising Policy Doc | ~ | Privacy | ROI - Fundraising | Resources>Documents>Privacy & Security | 4/6/2014 | |
| HIPAA & Wisconsin Law Enforcement | Letter: From DHS RE: Reporting of Animal Bites | Privacy | ROI - Law Enforcement | Resources>Documents>Privacy & Security | 12/17/2015 | |
| HIPAA Implementation & Oversight | ~ | Privacy | HIPAA Oversight | Resources>Documents>Privacy & Security | 8/30/2019 | |
| Identity Verification | ~ | Privacy | ROI - Identity Verification | Resources>Documents>Privacy & Security | 10/30/2017 | |
| Individual Right to Request Alternative Communications | Form: Sample form for Individual's Right to Request Alternate Means or Locations to Process PHI | Privacy | | Resources>Documents>Privacy & Security | 8/7/2017 | |
| Individual Right to Request Restrictions on How PHI is Used/Disclosed for Treatment, Payment and Healthcare Operations | Forms: Sample request for Restrictions on Use/Disclosure of PHI, Sample Letter of Approval for Request for Record Restrictions, Sample Letter or Denial of Request for Record Restrictions | Privacy | Restriction Requests | Resources>Documents>Privacy & Security | 8/7/2017 | |
| Issue of Requiring Authorization Forms to Include Copy or Fax - Position Statement | ~ | Privacy | ROI - Authorization Form Fax Position Stmt | Resources>Documents>Privacy & Security | 8/30/2018 | |
| Management of Employee Health Records Whitepaper | ~ | Privacy | ROI - Employee Health | Resources>Documents>Privacy & Security | 4/1/2019 | |
| Marketing Policy Doc | ~ | Privacy | ROI - Marketing | Resources>Documents>Privacy & Security | 3/1/2014 | |
| Minimum Necessary Doc | Sample Request for Access to <Organization> Protected Health Information | Privacy | Minimum Necessary | Resources>Documents>Privacy & Security | 6/30/2016 | |
| Minimum Necessary Training PPT | ~ | Privacy | Minimum Necessary | Resources>Documents>Privacy & Security | None listed | |

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| Minors and Access Doc | ~ | Privacy | ROI - Minors | Resources>Documents>Privacy & Security | 10/1/2020 | |
| Notice of Privacy Practice Acknowledgement | Form: Acknowledgement of Receipt of Privacy Notice | Privacy | Notice of Privacy Practices | Resources>Documents>Privacy & Security | 7/27/2020 | |
| Notice of Privacy Practice Model - Payer | ~ | Privacy | Notice of Privacy Practices | Resources>Documents>Privacy & Security | 7/22/2013 | |
| Notice of Privacy Practice Model - Provider | ~ | Privacy | Notice of Privacy Practices | Resources>Documents>Privacy & Security | 7/27/2020 | |
| Notice of Privacy Practice Policy - Provider | ~ | Privacy | Notice of Privacy Practices | Resources>Documents>Privacy & Security | 7/27/2020 | |
| Notice of Privacy Practice Policy - Payer | ~ | Privacy | Notice of Privacy Practices | Resources>Documents>Privacy & Security | 7/22/2013 | |
| Occupational Health Whitepaper | ~ | Privacy | ROI - Occupational Health | Resources>Documents>Privacy & Security | 4/15/2013 | |
| Patient Privacy Rights - Policy | | Privacy | Patient Rights | Resources>Documents>Privacy & Security | 6/5/2018 | |
| Patient Privacy Rights - PowerPoint | ~ | Privacy | Patient Rights | Resources>Documents>Privacy & Security | 9/18/2017 | |
| Patient Right to Access, Inspect and Copy Protected Health Information | ~ | Privacy | ROI - Patient | Resources>Documents>Privacy & Security | 8/30/2018 | |
| Patient/Insured Privacy-Related Complaints Policy & Form | Form: Patient/Insured Privacy Complaint Report Form | Privacy | Incidents | Resources>Documents>Privacy & Security | 5/18/2017 | |
| Plan Documents Policy | ~ | Privacy | Plan Documents | Resources>Documents>Privacy & Security | 8/30/2019 | |
| Policy & Procedure for Use and Disclosure of PHI for Judicial and Administrative Proceedings | ~ | Privacy | ROI - Legal Proceedings | Resources>Documents>Privacy & Security | 12/5/2018 | |
| Policy and Procedure for Obtaining a Written Acknowledgement | ~ | Privacy | Patient Rights | Resources>Documents>Privacy & Security | 7/27/2020 | |

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| Preemption - WI 146 | ~ | Privacy | Preemption - Mental Health & Developmental Disabilities | Resources>Documents>Privacy & Security | 7/10/2008 | |
| Preemption - WI 252 | ~ | Privacy | Preemption - STD, HIV, etc. | Resources>Documents>Privacy & Security | 8/17/2003 | |
| Preemption - WI 51.30 | ~ | Privacy | Preemption - Mental Health & Developmental Disabilities | Resources>Documents>Privacy & Security | 11/10/2020 | |
| Preemption - Wis. Stat. 256.15(12): Ambulance Records Preemption Analysis | ~ | Privacy | ROI - Ambulance, EMT, 1st Responder | Resources>Documents>Privacy & Security | 10/2/2019 | |
| Preemption Analysis Supplement Definitions | ~ | Privacy | Preemption | Resources>Documents>Privacy & Security | 3/5/2019 | |
| Preemption Matrix (Payer) DOC | ~ | Privacy | ROI - Payer | Resources>Documents>Privacy & Security | 4/29/2003 | |
| Privacy Officer Breach Notification Checklist | ~ | Privacy | Incidents | Resources>Documents>Privacy & Security | 3/1/2012 | Incidents - Breach Notifications |
| Psychotherapy Notes | ~ | Privacy | ROI - Psychotherapy Notes | Resources>Documents>Privacy & Security | 5/11/2015 | |
| Restriction Requests Policy | Forms: Sample request for Restrictions on Use/Disclosure of PHI, Sample Letter of Approval for Request for Restrictions, Sample Letter for Denial for Request for Restrictions | Privacy | Restriction Requests | Resources>Documents>Privacy & Security | 9/18/2014 | |

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|---|---|-------------------------------------|------------------------------------|---|--------------------|---|
| Sample Letters for Amendment Policy | Multiple Sample Letters Responding to Amendment Requests | Privacy | Amendment Requests | Resources>Documents>Privacy & Security | 2/1/2013 | |
| Validity of Patient Authorization | ~ | Privacy | ROI - Authorization Form Checklist | Resources>Documents>Privacy & Security | 4/13/2016 | |
| WI Authorization Form Doc | Form: Authorization for Use and Disclosure of Health Information | Privacy | ROI - Authorization Form Example | Resources>Documents>Privacy & Security | 3/25/2016 | |
| Workers Compensation | ~ | Privacy | ROI - Workers' Compensation | Resources>Documents>Privacy & Security | 10/4/2017 | |
| Breach Notification - 2013 Omnibus Rule Version - Compliance Date 9/23/13 | Sample Notification Letter to Secretary of HHS, Sample Media Notification Statement/Release, Sample Breach Notification Log | Privacy & Security | Incidents | Resources>Documents>Privacy & Security | 7/17/2013 | Incidents - Breach Notifications |
| Business Associate Agreement Policy/Procedure | List: Examples of Business Associates, Business Associate Agreement Checklist, Business Associate Questionnaire | Privacy & Security | Business Associate Agreement | Resources>Documents>Privacy & Security | 6/30/2016 | Note: 1/15/2020 Privacy Co-Chairs reviewed. Decision: Business Associate Agreement has not had any changes, older docs exist that need to be reviewed and updated as necessary. |
| Example Privacy & Security Training Session | ~ | Privacy & Security | Training | Resources>Documents>Privacy & Security | 4/8/2015 | Training |
| Telemedicine Policy and Procedure | Telemedicine Privacy and Security Workflow, Consent for Telemedicine Services and Technologies, Clinical and Billing Considerations | Privacy, Security & Risk Management | Covid-19 | Resources>Documents>Privacy & Security | 6/24/2020 | |
| Guide for the HIPAA COW Risk Analysis & Risk Management Toolkit | ~ | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit and also >Privacy & Security | 9/13/2013 | Risk Management |

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| HIPAA COW OCR Audit Protocol | Audit Protocol Intro, June 2012 HIPAA Security OCR Protocol, June 2012 HIPAA Privacy & Breach OCR Audit Protocol | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 8/10/2012 | Risk Management |
| HIPAA COW Risk Analysis Report Template | Threat Identification Overview, Risk Calculation Worksheet and Risk Scale Necessary Actions, Risk Likelihood, Risk Impact, and Risk Level Definitions, NIST Risk Mitigation Methodology Activities | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 5/18/2012 | Risk Management |
| HIPAA COW Risk Assessment Template | Spreadsheet Tabs: Example Security P&P List, Security Questions, Threat Source List, Inventory Asset | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit and also >Privacy & Security | 7/2/2020 | Risk Management |
| Network Diagram Example | ~ | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 1/6/2012 | Risk Management |
| NIST Risk Assessment Steps | Risk Assessment Methodology Flowchart | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 1/12/2012 | Risk Management |
| NIST Risk Definitions and Calculations | ~ | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 1/6/2012 | Risk Management |
| NIST Risk Mitigation Activities | ~ | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 1/6/2012 | Risk Management |
| NIST Cybersecurity Framework | ~ | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 3/12/2021 | Risk Management |
| NIST Threat Overview | ~ | Risk Management | Risk Analysis | Resources>Documents>Risk Toolkit | 1/6/2012 | Risk Management |

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| OCR Audit Protocol 2013 Cross Reference to the HIPAA COW Risk Assessment Changes Made | ~ | Risk Management | Risk Analysis | Resources>Documents> Risk Toolkit | 9/6/2013 | Risk Management |
| Privacy, Security & Meaningful Use Questions to Ask Vendors | ~ | Risk Management | Risk Analysis | Resources>Documents> Privacy & Security | 12/15/2011 | |
| Risk Management Policy | ~ | Risk Management | Risk Analysis | Resources>Documents> Risk Toolkit and also >Privacy & Security | 1/16/2013 | Risk Management |
| Contingency Planning Whitepaper | Forms: Disaster Recovery Team Status Report - Sample Template, Information Security Incident Report Form | Security | Contingency Plan | Resources>Documents> Privacy & Security | 4/24/2018 | Contingency Plan |
| Cyber Hygiene Guidelines | | Security | Security Oversight | Resources>Documents> Privacy & Security | 5/27/2020 | |
| Data Management & Backup | | Security | Data Management & Backup | Resources>Documents> Privacy & Security | 8/28/2020 | Data Management |
| Encryption Whitepaper | Links: Multiple Links for Reference | Security | Encryption | Resources>Documents> Privacy & Security | 1/23/2020 | Transmission Security - Encryption |
| Facility Access Policy | Log: Visitor Sign-In Log | Security | Facility Safeguards | Resources>Documents> Privacy & Security | 10/31/2019 | Facility Access |
| Facility Repairs & Maintenance | ~ | Security | Facility Safeguards | Resources>Documents> Privacy & Security | 12/19/2019 | Facility Maintenance |
| HIPAA Security Oversight Policy | ~ | Security | HIPAA Oversight | Resources>Documents> Privacy & Security | 7/17/2014 | HIPAA Oversight |
| Information System Activity Review (Formerly named Auditing Policy) | ~ | Security | Auditing, Audit Trail, Audit log | Resources>Documents> Privacy & Security | 5/21/2015 | Auditing; Auditing & Security Measures |
| Media Sanitization for Disposal or Reuse | Forms: Certificate of Destruction, NIST Certificate of Sanitization. Flowchart: Asset Sanitization | Security | Disposal & Reuse | Resources>Documents> Privacy & Security | 11/25/2019 | ePHI Disposal |
| Portable Handheld Device Policy | | Security | Portable Media | Resources>Documents> Privacy & Security | 3/15/2017 | |

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| Remote Access Policy | Form: Request for Remote Access. Checklist: Remote Workstation Checklist Recommendations | Security | Access - Remote | Resources>Documents>Privacy & Security | 2/27/2013 | System Access |
| Removable Media Policy | | Security | Removable Media | Resources>Documents>Privacy & Security | 3/15/2017 | |
| Security Benchmarking Whitepaper | ~ | Security | Security Effort Benchmarking | Resources>Documents>Privacy & Security | 7/13/2010 | |
| Security Incident Response | Security Incident Response Flow Chart, Sample Incident Report Form, Chain of Custody Procedures. Links to: SANS Sample Incident Handling Forms. | Security | Incidents | Resources>Documents>Privacy & Security | 10/31/2019 | Incidents - Security Incident Response |
| Security Policy & Procedure Checklist | Spreadsheet Tabs: Directions, Checklist | Security | Security Policy & Procedure List | Resources>Documents>Privacy & Security | 5/30/2018 | N/A |
| Security Standards and Associated P&Ps | ~ | Security | Security Policy & Procedure List | Resources>Documents>Privacy & Security | 6/26/2019 | N/A |
| Social Media Guidelines | | Security | Social Media | Resources>Documents>Privacy & Security | 2/27/2019 | |
| System Access Policy | Confidentiality and Information Access Agreement, System Access Request/Change Form Password Guidelines, Termination Checklist | Security | Access - System | Resources>Documents>Privacy & Security | 4/1/2019 | System Access |
| Example Policy and Procedure Template | ~ | All | Policy Template | Resources>Documents>Privacy & Security | 12/9/2012 | |
| Why Should I Join a Networking Group Brochure? | ~ | All | Networking Group Recruitment | Networking Groups | Ongoing | |

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| 2014-2015 Healthcare Breaches – Is History Repeating Itself Webinar | ~ | N/A | Training | Resources>HIPAA Education>Other | 4/6/2015 | |
| Business Associate 101 Webinar | ~ | N/A | Training | Resources>HIPAA Education>HIPAA 101 | 8/7/2019 | |
| Disclosures to Law Enforcement Webinar | ~ | N/A | Training | Resources>HIPAA Education>Other | 10/27/2014 | |
| HIPAA Privacy 101 Webinar | ~ | N/A | Training | Resources>HIPAA Education>HIPAA 101 | 8/7/2019 | |
| HIPAA Risk 101 Webinar | ~ | N/A | Training | Resources>HIPAA Education>HIPAA 101 | 10/31/2013 | |
| HIPAA Security 101 Webinar | ~ | N/A | Training | Resources>HIPAA Education>HIPAA 101 | 9/26/2019 | |
| ICD-10 Presentation - "Testing, Testing: An IT Perspective on ICD-10" | ~ | N/A | Training | Resources>Documents>EDI and also HIPAA Education>Other | 3/18/2014 | |
| New Guidance on Patient Right of Access Webinar | ~ | N/A | Training | Resources>HIPAA Education>Other | 11/27/2017 | |
| Social Media Webinar | ~ | N/A | Training | Resources>HIPAA Education>Other | 6/20/2016 | |

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